

GP 2161 #2  
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02.21.03

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Joseph M. Kochansky ) Examiner:  
Serial No.: 10/086,769 ) Group Art Unit: 2161  
Filed: March 1, 2002 ) Confirmation No. 8954  
For: INVESTMENT PORTFOLIO COMPLIANCE SYSTEM

Commissioner for Patents  
Washington, D.C. 20231

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FEB 12 2003  
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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.56, the reference listed on the attached form PTO-1449 is being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application.

The Information Disclosure Statement submitted herewith is being filed, to the best of applicant's knowledge, before the mailing date of a first Office Action on the merits.

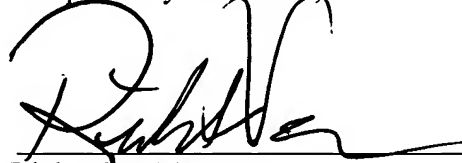
The filing of this Information Disclosure Statement shall not be construed to be a representation that a search has been conducted, nor shall it be construed as an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Serial No. 10/086,769

Attorney Docket: 074339.0005

It is respectfully requested that the Examiner return a copy of the attached form PTO-1449 with initials or other appropriate marks indicating consideration of the cited materials.

Respectfully submitted



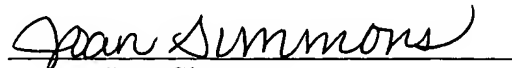
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Date: January 30, 2003

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I hereby certify that the enclosed Information Disclosure Statement along with a PTO-1449 Form and cited references are being deposited with the United States Postal Service as first class mail, postage prepaid, addressed to the Commissioner for Patents, Washington, D.C. 20231, on January 30, 2003.

Dated: January 30, 2003

  
Joan Simmons

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